

REQUEST FOR PROPOSAL

The information provided below will allow Retirement Strategies, LLC to prepare a proposal which will cover the services Retirement Strategies, LLC provides, as well as the installation and administrative fees that will be charged Retirement Strategies, LLC.

Proposal Requested By:

Address:

Email:

Client Name (*optional*):

Plan Status:

New Plan (please complete Section A)

Existing Plan (please complete Section B)

Section A

Desired Plan Year: _____ to _____

Desired Plan Design: 401(k) Plan Safe-Harbor Plan Profit Sharing Plan Cross-Tested Plan Undecided

Number of Full-time Employees (working more than 1,000 hours):

Desired Investment Provider(s):

Section B

Plan Year: _____ to _____

Plan Design: 401(k) Plan Safe-Harbor Plan Profit Sharing Plan Cross-Tested Plan Uncertain

Number of Full-time Employees (working more than 1,000 hours):

Number of Eligible Plan Participants:

Current Valuation Frequency: Annual Semi-Annual Quarterly

Current Investment Provider(s):

Will the Investments be transferred to a New Investment Provider? YES NO

If yes, please complete the below information:

Desired Investment Provider(s):

Desired Investment Arrangement: Allocated Segregated (Brokerage Account) Pooled (Balance Forward)

EMPLOYEE DATA REQUEST

PLAN NAME: _____

Employee Data for the Period Ending: _____

Name	Officer? (Y/N)	Percent Ownership	Date of Birth	Date of Hire	-- Hours Worked --			Gross Wages	Employee 401(k) Deferrals	Employer Matc h	Profit Sharing
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